Section 1. Goals and Accomplishments

Goal 1: Institutional Control. Build and maintain a compliance program that establishes the highest standard of ethical conduct.

- The Medical Hardship and Medical Exemption policy and process was revised in conjunction with the director of sports medicine. In addition, the Banned Drug Substance/Nutritional Supplement letter that is required to be sent to prospects was enhanced in conjunction with the director of sports medicine.
- Thus far, during the 2004-05 academic year we have reported 12 violations to the NCAA and/or Big 12 Conference. All were considered secondary in nature.
- The compliance and academics staffs have been meeting on a monthly basis and a policy/procedure notebook has been developed, which includes the minutes and agendas from the meetings.
- Developed a comprehensive policy and guidelines for prospects taking official visits to Texas Tech. According to the commissioner of the Big 12, Texas Tech's policy and guidelines were the most thorough and complete of any school in the conference.
- Continued to hold joint meetings with the Office of the President to keep him informed of key issues of concern and/or importance.
- Purchased a software program to assist with the paperwork process for all student-athletes. With the purchase of the program, the compliance department (and other areas) should be able to save approximately 985 hours per year.

Goal 2: Personnel. Invest the human and financial resources necessary to maintain a quality work environment.

- Hired a legal intern from the TTU Law School for the summer of 2004 and the 2004-05 academic year.
- Have hired two (and possibly three) legal interns from the TTU Law School for the 2005-06 academic year.

Goal 3: Image. Establish a positive image for the Athletics Compliance program at Texas Tech University.

- In August 2004, the compliance staff hosted an appreciation luncheon for campus constituents (e.g., admissions, registrar’s office) that assist the athletics department by ensuring compliance with NCAA, Big 12 and campus rules and regulations.
- In March 2005, Sr. Associate AD for Compliance and Administration, Pat Britz, spoke to the San Angelo Alumni Club about NCAA compliance and other items of interest regarding Texas Tech athletics.

Goal 4: Educate. Maintain a comprehensive compliance education program.

- During the fall 2004, the compliance department increased its educational efforts regarding student-athlete time demands. This included reviewing the issue with coaches at one of the coaches’ compliance meetings and providing materials to student-athletes via handouts and slides in the academic center.
- In March 2005, the compliance staff conducted its annual agent educational session for rising senior football student-athletes and oversaw meetings with actual agents and the student-athletes.
- In September 2004, Michael Franzese (former member of the mob) came to campus and spoke to both student-athletes and coaches/staff about the dangers of
gambling; in particular sports wagering.

- During the fall 2004, the compliance staff hosted an international prospective student-athlete seminar for all coaches. This included a checklist and an internal tracking process.
- Continued to conduct monthly compliance meetings for all coaches to review current issues and any changes to NCAA, Big 12 and/or institutional rules and policies.
- Conducted individual sport meetings for each program during the summer to give a comprehensive review session for all aspects of compliance that may pertain to that particular program.
Section 2. Universal Quantitative Data

There are no Universal Quantitative Data for this area/unit.
COMPLIANCE

Area/Unit Specific Information

Section 3a. Quantitative Information

There is No Area Specific Data in Calendar Year Section.
There is No Area Specific Data in Fall Section.
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Section 3b. Qualitative Information.

There is no qualitative information for the current year.
Section 4. Strategic Planning Update.

There is no strategic plan update for the current year.

Commentary:
The mission of the Athletics Compliance department is to provide a comprehensive compliance and monitoring program that promotes knowledge of and adherence to NCAA, Big 12 Conference and institutional rules and regulations among members of the athletics department, Texas Tech personnel and members of the athletics community, thereby reducing infractions that otherwise may occur. Based on the goals and accomplishments described in this report, the Athletics Compliance department has strived to adhere to the mission.

Implementation Plan:
In order to continue to retain an excellent staff, the position of Compliance Coordinator needs to be promoted to Assistant Director of Compliance and the salary increased. This has been requested and will be reviewed in April 2005.